



GeSI
GLOBAL e-SUSTAINABILITY
INITIATIVE

Conflict Minerals Reporting Template

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English
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Revision 2.02a
July 25th, 2013

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). The information collected in this template should be updated annually. Any changes within the annual cycle should be provided to your customers

Company Information

Company Name (*):	Regal Beloit Corporation
Declaration Scope (*):	A. Company Level
Description of Scope:	
Company Unique Identifier:	00-611-1751
Address:	200 State Street Beloit, WI 53511-6254
Authorized Company Representative Name (*):	Gregory J. Lanchman
Representative Title:	Manager, Compliance and Technical Support
Representative Email (*):	greg.lanchman@regalbeloit.com
Representative Phone:	(937)669-6221
Date of Completion (*):	20-Jan-2014

Answer the following questions 1 - 6 based on the declaration scope indicated above

Question	Answer	Comments
1) Are any of the following metals necessary to the functionality or production of your company's products that it manufactures or contracts to manufacture? (*)		
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	
2) Do the following metals (necessary to the functionality or production of your company's products) originate from the DRC or an adjoining country? (*)		
Tantalum (*)	Uncertain or Unknown	Based on a reasonable inquiry of our suppliers who provide parts in 43 different part categories that have the potential of containing tin, tantalum, tungsten or gold, we do not have any reason to believe that the
Tin (*)	Uncertain or Unknown	Based on a reasonable inquiry of our suppliers who provide parts in 43 different part categories that have the potential of containing tin, tantalum, tungsten or gold, we do not have any reason to believe that the
Gold (*)	Uncertain or Unknown	Based on a reasonable inquiry of our suppliers who provide parts in 43 different part categories that have the potential of containing tin, tantalum, tungsten or gold, we do not have any reason to believe that the
Tungsten (*)	Uncertain or Unknown	Based on a reasonable inquiry of our suppliers who provide parts in 43 different part categories that have the potential of containing tin, tantalum, tungsten or gold, we do not have any reason to believe that the
3) Do the following metals (necessary to the functionality or production of your products) come from a recycler or scrap supplier? (*)		
Tantalum (*)	Uncertain or Unknown	
Tin (*)	Uncertain or Unknown	
Gold (*)	Uncertain or Unknown	
Tungsten (*)	Uncertain or Unknown	
4) Have you received completed Conflict Minerals Reporting Templates from all of your suppliers? (*)		
Tantalum (*)	No but > 75%	Click here to enter smelter names
Tin (*)	No but > 75%	
Gold (*)	No but > 75%	
Tungsten (*)	No but > 75%	
5) For each of the following metals, have you identified all of the smelters your company and its suppliers use to supply the products included within the declaration scope indicated above? (*)		
Tantalum (*)	No	Click here to enter smelter names As indicated from the smelter list tab, smelters have been provided by those suppliers who have responded to our inquiries.
Tin (*)	No	As indicated from the smelter list tab, smelters have been provided by those suppliers who have responded to our inquiries.
Gold (*)	No	As indicated from the smelter list tab, smelters have been provided by those suppliers who have responded to our inquiries.
Tungsten (*)	No	As indicated from the smelter list tab, smelters have been provided by those suppliers who have responded to our inquiries.
6) Have all of the smelters used by your company and its suppliers been validated as compliant in accordance with the Conflict-Free Smelter (CFS) Program and listed on the Compliant Smelter List for the following metals? (*)		
Tantalum (*)	No	Link to "CFS Compliant Smelter List" Not all smelters have been audited under the CFS program
Tin (*)	No	Not all smelters have been audited under the CFS program
Gold (*)	No	Not all smelters have been audited under the CFS program
Tungsten (*)	No	Not all smelters have been audited under the CFS program

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Do you have a policy in place that includes DRC conflict-free sourcing? (*)	Yes	
B. Is this policy publicly available on your website? (*)	Yes	http://investors.regalbeloit.com/phenix.html?c=116222&pi=rol-govconflictmineral
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	Supplier contract language has been updated to include DRC conflict-free sourcing. Conflict-free sourcing is also addressed in our supplier quality manual.
D. Do you require your direct suppliers to source from smelters validated as compliant to a CFS protocol using the CFS Compliant Smelter List? (*)	Planned once lists become available	Our conflict minerals policy requires our suppliers to source from conflict free smelters and we plan to enforce this requirement as more smelters comply with the CFS Program
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	
F. Do you request your suppliers to fill out this Conflict Minerals Reporting Template? (*)	Yes	We are requiring our suppliers to fill out a web-based conflict minerals template that is based on and covers all items in EICC-GeSI Conflict Minerals Reporting Template
G. Do you request smelter names from your suppliers? (*)	Yes	
H. Do you verify due diligence information received from your suppliers? (*)	Yes (documentation review only)	Regal will be using mainly document reviews but will conduct internal audits to verify supplier due diligence information as necessary
I. Does your verification process include corrective action management? (*)	Yes	We will work with suppliers to ensure that they source from conflict free sources. If suppliers are unwilling, we will take the necessary action up to and including replacing the supplier.
J. Are you subject to the SEC Conflict Minerals disclosure requirement rule? (*)	Yes	

[Click here to check required fields completion](#)