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## Conflict Minerals Reporting Template (CMRT)

Revision 5.10  
 December 1, 2017

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (\*). Consult the instructions tab for guidance on how to answer each question.

### Company Information

Company Name (*):	RAB Lighting Inc.
Declaration Scope or Class (*):	A. Company
Description of Scope:	This form has been completed based solely on responses from our supply chain as of 3/22/16. We are in the process of gathering updated responses from our supply chain.
Company Unique ID:	
Company Unique ID Authority:	
Address:	170 Ludlow Avenue, Northvale, NJ 07647
Contact Name (*):	Michael J Marie
Email - Contact (*):	<a href="mailto:Michael.Marie@rabweb.com">Michael.Marie@rabweb.com</a>
Phone - Contact (*):	201-784-8600
Authorizer (*):	Michael J Marie
Title - Authorizer:	Vice President of Supply Chain
Email - Authorizer (*):	<a href="mailto:Michael.Marie@rabweb.com">Michael.Marie@rabweb.com</a>
Phone - Authorizer (*):	201-784-8600
Effective Date (*):	15-Dec-2017

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (\*)

	Answer	Comments
Tantalum (*)	yes	Only one supplier has indicated that tantalum is used
Tin (*)	yes	
Gold (*)	yes	
Tungsten (*)	yes	Only one supplier has indicated that tungsten is used



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## 2) Does any 3TG remain in the product(s)? (\*)

	Answer	Comments
Tantalum (*)	Yes	We have no reason to believe that the 3TG do not remain in the products but we are gathering confirmation from suppliers in response to this new form
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

## 3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (\*)

	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

## 4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (\*)

	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	

## 5) What percentage of relevant suppliers have provided a response to your supply chain survey? (\*)

	Answer	Comments
Tantalum (*)	100%	Relevant suppliers are all electronic component suppliers and all finished light fixture suppliers to the extent third party manufacturing is used along with other products that we believe might
Tin (*)	100%	
Gold (*)	100%	
Tungsten (*)	100%	



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6) Have you identified all of the smelters supplying the 3TG to your supply chain? (\*)

	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	



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7) Has all applicable smelter information received by your company been reported in this declaration? (\*)

	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

### Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy? (*)	No	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	No	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	No	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	No	
F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, using other format (describe)	We use Conflict Minerals Reporting Templates provided by EICC in support of GeSI
G. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
H. Does your review process include corrective action management? (*)	No	
I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)	No	